

## Serious Adverse Events (SAEs) Reporting Form for RB&HFT sponsored CTIMPs

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1. Study details										
Study title:										
EudraCT number:					REC Reference:					
RO R&D number:					Principal Investigator (PI):					
2. Reporting personnel contact details										
Person sending report:				Trial site:						
Job title:				Email:				Phone:		
Type of report:	<input type="checkbox"/> Initial	<input type="checkbox"/> Follow-up		Has the CI/PI been made aware of the event prior to form being completed?			<input type="checkbox"/> Yes		<input type="checkbox"/> No	
3. Patient details										
Patient trial/study ID:				Patient initials:				Patient DOB:	dd/mm/yyyy	
Gender:	<input type="checkbox"/> Male	<input type="checkbox"/> Female		Was study drug un-blinded?		<input type="checkbox"/> Yes		<input type="checkbox"/> No	<input type="checkbox"/> N/A	
4. Study/trial treatment details										
IMP(s) patient was receiving at time of SAE (if applicable)	Dose (mg)	Route of administration	Date of dose initiated	End Date (if applicable)	Ongoing?		Dose reduction		Specify:	
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	<input type="checkbox"/> Y	<input type="checkbox"/> N		
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	<input type="checkbox"/> Y	<input type="checkbox"/> N		
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	<input type="checkbox"/> Y	<input type="checkbox"/> N		
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	<input type="checkbox"/> Y	<input type="checkbox"/> N		
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	<input type="checkbox"/> Y	<input type="checkbox"/> N		
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	<input type="checkbox"/> Y	<input type="checkbox"/> N		
Date of last treatment given prior to SAE occurrence?	dd/mm/yyyy			Was treatment given at full dose prior to event?		<input type="checkbox"/> Y		<input type="checkbox"/> N	Please specify below:	
Most recent cycle (if										

applicable)								
<b>Did reaction abate after stopping drug?</b>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A	<b>Did reaction reappear after reintroduction?</b>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A	
<b>4.1. Has patient received any additional treatment for management of SAE?</b>				<input type="checkbox"/> Y	<input type="checkbox"/> N	If yes, please specify below:		
<b>Treatment (please specify):</b>	<b>Total daily dose (mg):</b>	<b>Route of administration</b>	<b>Start Date</b>	<b>Date initiated</b>	<b>Ongoing?</b>		<b>End Date (if applicable)</b>	
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	dd/mm/yyyy	
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	dd/mm/yyyy	
<b>4.2 Any concomitant medication?</b>				<input type="checkbox"/> Y	<input type="checkbox"/> N	If yes, please specify below:		
<b>Treatment (please specify):</b>	<b>Total daily dose (mg):</b>	<b>Route of administration</b>	<b>Start Date</b>	<b>Date initiated</b>	<b>Ongoing?</b>		<b>End Date (if applicable)</b>	
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	dd/mm/yyyy	
			dd/mm/yyyy	dd/mm/yyyy	<input type="checkbox"/> Y	<input type="checkbox"/> N	dd/mm/yyyy	
<b>4.3 Any relevant tests/laboratory data?</b>				<input type="checkbox"/> Y	<input type="checkbox"/> N	If yes, please specify below:		
<i>Please use separate sheet if necessary:</i>								
<b>4.4 Any relevant medical history/concurrent conditions?</b>				<input type="checkbox"/> Y	<input type="checkbox"/> N	If yes, please specify below:		
<i>Please use separate sheet if necessary:</i>								
<b>4.5 Any other relevant information?</b>				<input type="checkbox"/> Y	<input type="checkbox"/> N	If yes, please specify below:		
<i>Please use separate sheet if necessary:</i>								
<b>5. Serious Adverse Event (SAE) details must be completed for each SAE:</b>								
<b>Date of onset:</b>	<b>Date PI/CI became aware:</b>		<b>Ongoing?</b>		<b>Severity/Intensity</b>			<b>Date resolved</b>
dd/mm/yyyy	dd/mm/yyyy		<input type="checkbox"/> Y	<input type="checkbox"/> N	<input type="checkbox"/> Mild	<input type="checkbox"/> Moderate	<input type="checkbox"/> Severe	dd/mm/yyyy
<b>Expectedness:</b>	<input type="checkbox"/> Expected	<input type="checkbox"/> Unexpected	Please indicate if the event is listed in the reference document (i.e. protocol, SmPC, Investigator's Brochure)?				<input type="checkbox"/> Y	<input type="checkbox"/> N
<b>Why was the event classified as serious?</b> (tick the most appropriate)			<b>Where did the event take place?</b>			<b>Outcome</b>		
<input type="checkbox"/> Resulted in death			<input type="checkbox"/> Home			<input type="checkbox"/> Resolved		
<input type="checkbox"/> Resulted in persistent or significant disability/incapacity			<input type="checkbox"/> Out-patient clinic			<input type="checkbox"/> Resolved with sequelae		
<input type="checkbox"/> Life-threatening			<input type="checkbox"/> Hospital	<b>Admission date:</b>	dd/mm/yyyy	<input type="checkbox"/> Ongoing		

<input type="checkbox"/> Required inpatient or prolonged existing hospitalisation		Discharge date:	dd/mm/yyyy	<input type="checkbox"/> Unresolved		
<input type="checkbox"/> Resulted in congenital anomaly/birth defect	<input type="checkbox"/> Nursing Home		<input type="checkbox"/> Worsened			
<input type="checkbox"/> Other Important Medical Event (IME)	<input type="checkbox"/> Hospice		<input type="checkbox"/> Fatal <i>(please specify date)</i>			
<i>Please specify any other IME:</i>	<input type="checkbox"/> Other	<i>Please specify:</i>	dd/mm/yyyy			
<b>5.1 Casual relationship to event (Is the event related to the subject's involvement in the study)?</b>						
<b>Trial drug (IMP)</b>	<b>*Definitely related</b>	<b>*Possibly related</b>	<b>*Probably related</b>	<b>Not related</b>	<b>Unlikely</b>	<b>Not assessable</b>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>*Please note – definitely, possibly and probably qualifies an AE as an AR</i>						
<b>5.2 Action taken to address the above:</b>						
<b>Trial drug (IMP)</b>	<b>None</b>	<b>*Dose reduction</b>	<b>*Treatment delayed</b>	<b>Treatment delayed and reduced</b>	<b>Treatment permanently stopped</b>	<b>Name of person making a decision</b>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>*Please specify details of dose reduction and/or treatment delay, if any:</i>						
<b>6. Summary Description of the event:</b>						
<i>Please give a concise medical description of the event including all relevant symptoms (please specify the grade):</i>						
<b>7. Outcome</b>						
<i>Please provide details of how you conducted the assessment of causality for expectedness and relatedness and/or why you reached decisions highlighted above (i.e. reasons for the outcome):</i>						
<i>Whom did you discuss your judgment of assessment with:</i>						
<i>Reporting death:</i>						
<ul style="list-style-type: none"> <li>▪ <i>Was it expected? If yes, please state reasons (i.e. disease progression)?</i></li> </ul>						

8. Signatures								
Signature of person making the assessment Authorised health professional			Print name		Date of assessment	dd/mm/yyyy		
Signature of person completing the form if different to person above			Print name		Date of assessment	dd/mm/yyyy		
<b>For Sponsor's Office use only</b>								
Date SAE report received:	dd/mm/yyyy		Date SAE reviewed:	dd/mm/yyyy	SAE number:			
RO confirmation of assessment:	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Date entered on database:	dd/mm/yyyy	Please indicate if the event is listed in the reference document (i.e. protocol, SmPC, Investigator's Brochure)?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Was the event a SUSAR?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Date SUSAR reported to MHRA:	dd/mm/yyyy	Date SUSAR reported to the main REC:	dd/mm/yyyy		
Date SUSAR reported to GTAC (if applicable):	dd/mm/yyyy		Date SUSAR reported to all PIs:	dd/mm/yyyy	Date RO acknowledged receipt of the form:	dd/mm/yyyy		
RO Comments:								
Signature of person reviewing/checking the form:			Print name		Date of assessment	dd/mm/yyyy		